re Application of:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit:

2857

Serial No.:

10/796,578

Clark R. Baker, Jr.

Examiner:

Tsai, Carol S. W.

Filed: March 8, 2004

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**PULSE OXIMETER WITH** 

SEPARATE ENSEMBLE AVERAGING FOR OXYGEN

SATURATION AND HEART RATE

Atty. Docket: TYHC:0052/FLE

009103-022700US

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 CERTIFICATE OF MAILING 37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Mail Stop Amendment, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below:

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NFORMATION DISCLOSURE STATEMENT 10/04/2005 FMETEKI1 00000030 061315 RSUANT TO 37 C.F.R. §§ 1.97(c) AND 1.98

01 FC:1806 180.00 DA

> In compliance with the duty of disclosure under 37 C.F.R. § 1.56(a), it is respectfully requested that this Information Disclosure Statement be entered and that the listed references be considered by the Examiner and made of record. Applicants note that, due to recent amendments to 37 C.F.R. § 1.98(a), copies of the listed domestic references are no longer required to be submitted to the Examiner.

In accordance with 37 C.F.R. § 1.97, this Information Disclosure Statement is not to be construed as a representation that a search has been made, as an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b), or as a representation that no other possibly material information, as defined in 37 C.F.R. § 1.56(b), exists.

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Furthermore, the references listed on the attached PTO/SB/08A and PTO/SB/08B Forms are not to be construed as an admission that these references qualify as prior art as to the above-referenced application or any related application. Rather, these references are being presented for the Examiner's consideration without prejudice to the right to demonstrate that any of these references do not qualify as prior art should the Examiner choose to apply any of these references.

The following information is listed on the attached PTO/SB/08A and PTO/SB/08B Fin accordance with 37 C.F.R. §1.98. Any explanation of non-English language documents contained in this Information Disclosure Statement is believed to constitute a concise explanation of the relevance of the listed reference as it is presently understood by the individual designated in § 1.56(c) most knowledgeable about the content of the listed reference, in accordance with 37 C.F.R. § 1.98(a)(3).

In accordance with 37 C.F.R. §1.97(c) a fee of \$180.00 is due for the present Information Disclosure Statement. The Commissioner is authorized to charge any requisite fee for this Information Disclosure Statement, and any additional fee which may be required, to Deposit Account No. 06-1315; Order No. TYHC:0052/FLE.

Respectfully submitted,

Date: September 29, 2005

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	ORMATIC	ON DISCLO	SURE	Application Number	10/796,578		
STA	ATEMENT	BY APPLI	CANT	Filing Date	March 8, 2004		
				First Named Inventor	Clark R. Baker, Jr.		
(1	(Use as many sheets as necessary)			Art Unit	2857		
				Examiner Name	Tsai, Carol S. W.		
Sheet	1	of		Attorney Docket Number	TYHC:0052/FLE/009103-022700US		

			U.S.	PATENT DOC	CUMENTS	
Examiner Initials*	Cite No. Number-Kind Code (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	A1	US-	2002/045806 A1	04/18/2002	Clark R. Baker, et al.	
	A2	US-	4,911,167 A	03/27/1990	Corenman et al.	
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	A9	US-				
	A10	US-				
	A11	US-				
	A12	US-		<b></b>		
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	A17	US-				
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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	T <sup>6</sup>
		Country Code <sup>3-</sup> Number <sup>4-</sup> Kind Code <sup>5(if known)</sup>	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORMATION DISCLOSURE Complete if Known **Application Number** 10/796,578 **Filing Date** March 8, 2004 STATEMENT BY APPLICANT First Named Inventor Clark R. Baker, Jr. (Use as many sheets as necessary Art Unit 2857 **Examiner Name** Carol S. W. Tsai TYHC:0052/FLE/009103-022700US **Attorney Docket Number** 1 of Sheet

OCT 03 2005

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
	B1	International Search Report for PCT/US2005/007388, dated June 14, 2005 (6 pages)		
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